



Overview

The requirements are to provide guidelines for the allocation and utilization of research space in the Biomedical Research Center (BRC) and the University Research Park (URP). For the purposes of this requirement, research space is defined as wet laboratory space used for the performance of research endeavors. This document does not take into account facilities that may be needed for clinical research studies that do not involve wet laboratory research space or office space needed for clinical studies.

I. Principles

- A. The allocation of research space in the BRC and URP is the responsibility of the Provost and Vice President for Research (VPR) after considering recommendations from the Research Space Allocation Committee.
- B. The effective utilization of research space is the responsibility of the investigator assigned to the laboratory space.
- C. Space allocations by the Provost and VPR should never be considered permanent as they may change with the level of an investigator's research activity and/or the research priorities of the OUHSC. Consequently, the Provost and VPR also have the flexibility to consider programmatic issues in their final determination of the allocation/reallocation of research space.
- D. Investigators who have research space in the BRC and URP are **expected to have external funding with accompanying indirect costs**.
- E. Objective space metrics will be used in the overall assessment and assignment (or re-assignment) of research space (See Section II).
- F. The Research Space Allocation Committee can make recommendations to the Provost and VPR to assign a block of research laboratories in the BRC or URP to a specific research group based upon the strategic plans of the institution. The Provost will make the final determination as to whether research laboratories will be assigned to a research group, the number of research laboratories assigned to a research group, and how long this assignment will occur. It is the responsibility of the research group that the composite Research Space Productivity Index (RSPI) and Indirect Costs (IDC) for the group of labs it is assigned are reached and maintained yearly. As long as the composite RSPI and IDC are met, the research group will oversee the allocation of research space it is assigned. If this composite RSPI and IDC are not met the number of research laboratories assigned to this group will be reduced or reassigned entirely.
- G. In some instances, the Provost and VPR, after considering recommendations of the Research Space Allocation Committee, may allocate research space in the BRC and URP based on programmatic considerations to investigators with limited or no research funding for a period not to exceed two years. After two years, those investigators are expected to have received external funding with accompanying IDC or they are subject to losing research space in the BRC or URP.
- H. It is the prerogative of the Provost and VPR, after considering recommendations of the Research Space Allocation Committee, to reassign research space that becomes available when an investigator vacates research space, such as upon leaving the OUHSC, or when

research space metrics so indicate.

II. Research Space Allocation Metrics

There is a need to apply transparent and objective criteria to determine research space allocation for investigators. To that end, the following metrics will be used to analyze faculty research productivity:

- A. Research Space Productivity Index will be used as one metric to determine faculty productivity:
 1. Research Space Productivity Index (RSPI): The Total Award in a given fiscal year provided to an investigator divided by the number of Net Assignable Square Feet of laboratory space assigned to that investigator.
 2. Total Award (TA): the sum of direct costs and indirect costs associated with research and service awards. Projects on no cost extensions will not be included in the total.
 3. Net Assignable Square Feet (NASF): Square footage of wet-laboratory space assigned to a specific investigator.

- B. Indirect Costs (IDC), also known as Facilities and Administrative costs, received on research funds will be used as a second metric to determine faculty productivity.

III. Research Space Allocation Determination

- A. The following Benchmarks will be used for determination of research space allocation:
 1. The Benchmark RSPI to maintain BRC and URP research space is 300.
 - a. This Benchmark RSPI equates to a Total Award (TA) of \$270,000 for a researcher with a typical 900 square foot lab in the BRC or URP.
 - b. For example, an RSPI of 300 would equate to a TA of \$270,000 with \$200,000 of direct costs with an IDC of \$70,000 (35%) for an investigator in a typical 900 square foot lab.
 2. The Benchmark IDC to maintain BRC and URP research space is \$60,000.
 - a. This Benchmark IDC of \$60,000 equates to a rate of approximately 28.5% IDC on a TA of \$270,000.
 3. The RSPI and IDC for each faculty member with research space in the BRC or URP will be calculated by the Office of Research Administration and reviewed by the Research Space Allocation Committee at the end of each University fiscal year and recommendations will be made to the Provost and VPR on space allocation.

- B. Researchers who do not meet these Benchmarks become subject to the following space reallocation process:
 1. Researchers who do not meet these Benchmarks for a fiscal year will receive a written warning that they have one (1) fiscal year to meet these Benchmarks or they will be subject to losing research space in the BRC or URP.
 2. Researchers who do not meet these Benchmarks for two (2) fiscal years will receive a written notice that they are subject to losing research space in the BRC or URP.